3737 Atty. Dkt. No. 027478-0102

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

**Bryan BEES** 

Title:

OPTICAL VIEWING DEVICE HAVING AN APPARATUS FOR

PARTIAL REDUCTION OF THE ILLUMINATION INTENSITY

Appl. No.:

10/078,383

Filing Date:

02/21/2002

Examiner:

John R. Sanders, Jr.

Art Unit:

3737

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

11/19/2004 BSAYASI1 00000057 10078383

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### TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

#### RELEVANCE OF EACH DOCUMENT

The foregoing documents came to Applicant's attention during a search of the corresponding European application. A copy of the European Search Report setting forth the portion of each reference considered relevant by the examiner is attached.

Documents A2, A3 and A4 claim priority based on the same first-filed application.

English translations of the foreign-language documents are not readily available. However, English language abstracts are provided herewith. Consequently, the foreign language documents have been submitted in compliance with the PTO requirements and, therefore, the document should be considered by the Examiner (37 CFR §1.97, §1.98 and MPEP §609).

Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

#### FEE

A fee in connection with submission of an information disclosure statement under 37 CFR §1.97(c) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date November 18, 2004

FOLEY & LARDNER LLP

Customer Number: 22428

Telephone: (202) 672-5426 Facsimile: (202) 672-5399 Glenn Law Attorney for Applicant Registration No. 34,371

MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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1	Substitute for form 1449B/PTO	Complete if Known		
Y	INFORMATION DISCLOSURE	Application Number	10/078,383	
ı	STATEMENT BY APPLICANT \ P	Filing Date	02/21/2002	
ud	1 6 2004 Date Submitted: November 18, 2004	First Named Inventor	Bryan BEES	
"		Group Art Unit	3737	
ı	se as many sheets as necessary) 1 8 2004	Examiner Name	John R. Sanders, Jr.	
À	Sheet of te	Attorney Docket Number	027478-0102	

Cite				Date of Publication of	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
No.1	Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	
A1	5,555,040		KANEKO	09-10-1996	
A2	5,801,807		SATAKE ET AL.	09-01-1998	
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_			A1 5,555,040 known)	A1 5,555,040 KANEKO	A1 5,555,040 KANEKO 09-10-1996

FOREIGN PATENT DOCUMENTS								
_	Cite No. <sup>1</sup>	Foreign Patent Document		ocument		Date of Publication of Cited Document	Pages, Columns, Lines, Where	
Examiner Initials*		Offic	ce <sup>3</sup> Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Documents	MM-DD-YYYY	Relevant Passages or Relevant Figures Appear	Т <sup>6</sup>
	A3	JP	9-173351	A	NIKON CORP.	07-08-1997		Abst.
	A4	JP	9-94255	Α	NIKON CORP.	04-08-1997		Abst.
		l						

NON PATENT LITERATURE DOCUMENTS								
Examiner Initials*  Cite No.1  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(spublisher, city and/or country where published.								
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Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.